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Capital Markets

Emerging Companies Life Sciences Technology Retail and Consumer Products

Rich represents high-growth companies, investment banks and institutional investors in equity and debt offerings, with a focus on the technology, life sciences and consumer/retail sectors. Rich also counsels public companies on US Securities and Exchange Commission compliance and reporting, and he advises private companies on a broad range of corporate and securities matters.

Since 2019, Rich has completed 140+ securities transactions, including 39 initial public offerings, that raised more than \$34 billion for companies such as:

- Akero Therapeutics*
- com
- Apellis Pharmaceuticals*
- Appian
- Argenx*
- Arteris IP*
- Avidity Biosciences*
- Cardlytics
- DigitalOcean
- Endava
- ESAB Corporation*
- Everbridge
- FuboTV*
- Karuna Therapeutics*
- Legend Biotech
- Prelude Therapeutics*
- Rapport Therapeutics*
- Silvaco*
- Tempus Al

- The Clorox Company*
- Verve Therapeutics*
- Warby Parker*
- Zenas BioPharma
- * Indicates investment bank representation

Rich's private company clients include DailyPay, DriveWealth, Gopuff, SeatGeek and Workrise.

Rich also regularly represents investment banks, including BofA Securities, Barclays Capital, BMO Capital Markets, Canaccord Genuity, Cantor Fitzgerald, Citigroup Global Markets, Deutsche Bank Securities, Evercore Group, Goldman Sachs, H.C. Wainwright & Co., Jefferies, JMP Securities, J.P. Morgan Securities, Leerink Partners, Morgan Stanley, Needham & Company, Nomura Securities International, Oppenheimer & Co., Piper Sandler, Raymond James & Associates, RBC Capital Markets, Robert W. Baird & Co., Stifel, TD Securities, UBS Securities, Wedbush Securities, Wells Fargo and William Blair & Company.

Education

Boston College Law School JD, cum laude, 2009

Dartmouth College AB, cum laude, 2005

Admissions & Credentials

New York Massachusetts Vermont

Rankings & Accolades

Chambers USA: Capital Markets: Debt & Equity – Eastern United States – Nationwide (2023 – 2025) Chambers USA: Capital Markets – Massachusetts (2020 – 2022) The Legal 500 US: Leading Lawyer in Capital Markets: Equity Offerings (2021 – 2025) Massachusetts Super Lawyers: Rising Star – Securities & Corporate Finance (2013 – 2022)